



UNITED STATES PATENT AND TRADEMARK OFFICE

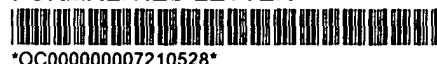
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/995,404 | 11/27/2001 | Mark P. Bendett | 1014.010US3 |

CONFIRMATION NO. 2553

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

FORMALITIES LETTER



OC000000007210528

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

03/08/2002 HHARZ11 00000010 09995404
01 FC:201
02 FC:205
370.00 OP
65.00 OP

[Signature]

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S/N 09/995,404



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|--|-----------------|-------------|
| Applicant: | Mark P. Bendett | Examiner: | Unknown |
| Serial No.: | 09/995,404 | Group Art Unit: | Unknown |
| Filed: | November 27, 2001 | Docket: | 1014.010US3 |
| Title: | APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING ADD/DROP PORTS AND GAIN | | |

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$370.00 to cover the small entity basic filing fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6949.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

MARK P. BENDETT

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6949

Date

19th February 2002

By

Charles A. Lemaire

Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 19th day of February, 2002.

Seamattie Creg
Name

Signature

Seamattie Creg

S/N 09/995,404

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark P. Bendett Examiner: Unknown
Serial No.: 09/995,404 Group Art Unit: Unknown
Filed: November 27, 2001 Docket: 1014.010US3
Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING
ADD/DROP PORTS AND GAIN

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are 25 sheets of substitute drawings.

Applicant assumes the application is now in proper order and in condition for examination.
Please direct any inquiries to the undersigned attorney at (612) 373-6949.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No.
19-0743.

Respectfully submitted,

MARK P. BENDETT

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6949

Date 19th February 2002 By Charles A. Lemaire
Charles A. Lemaire
Reg. No. 36,198
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Seatanattie Creg
Name

Signature